

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐Declaration
Submitted
with Initial
Filing

OR

☒Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

0228us410

First Named Inventor

Poul Baad Rasmussen

COMPLETE IF KNOWN

Application Number

10/084,706

Filing Date

02/26/2002

Art Unit

1647

Examiner Name

Unassigned

COPY

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NEW INTERFERON BETA-LIKE MOLECULES

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

02/26/2002

as United States Application Number or PCT International

Application Number

10/084,706

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PA 2001 00333	DK	03/01/2001	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PA 1999 01197	DK	08/27/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PA 1999 01691	DK	11/26/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PA 2000 00194	DK	02/07/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

☒ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number or Bar Code Label 30560 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Poul Baad

Family Name
or Surname

Rasmussen

Inventor's
Signature

Poul B. Rasmussen

Date

03-06-2002

Soeborg *PR*

Residence: City

State

Denmark

Country

Denmark

Citizenship

Ved Renden 23

Mailing Address

Soeborg *PR*

City

State

DK-2860

ZIP

Denmark

Country

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Joern

Family Name
or Surname

Drustrup

Inventor's
Signature

Joern Drustrup

Date

03-JUNE 2002

Farum

Residence: City

State

Denmark

Country

Denmark

Citizenship

Kielshoej 54

Mailing Address

Farum

City

State

DK-3520


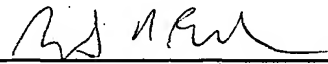
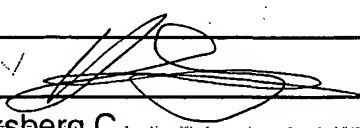
ZIP

Denmark

Country


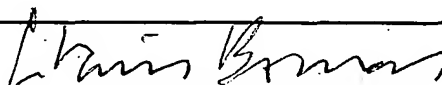
☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**
Page 2 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Grethe		Rasmussen	
Given Name		Family Name or Surname	
Inventor's Signature 		Date <u>11-6-200</u>	
Farum	State	Denmark	Denmark
R sidence: City		Country	Citizenship
Brudedalen 1			
Mailing Address			
Mailing Address			
Farum	State	DK-3520	Denmark
City		ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Anders Hjelholt		Pedersen	
Given Name		Family Name or Surname	
Inventor's Signature 		Date <u>12-6-2002</u>	
Lyngby	State	Denmark	Denmark
Residence: City		Country	Citizenship
Nybrolvaenget 58			
Mailing Address			
Mailing Address			
Lyngby	State	DK-2800	Denmark
City		ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Hans Thalsgard		Schambye	
Given Name		Family Name or Surname	
Inventor's Signature 		Date <u>13-06-2</u>	
Frederiksberg C.	State	Denmark	Denmark
Residence: City		Country	Citizenship
Pelargoniavej 21			
Mailing Address			
Mailing Address			
Frederiksberg C.	State	DK-2000	Denmark
City		ZIP	Country

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION**ADDITIONAL INVENTOR(S)****Supplemental Sheet**Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Kim Vilbour		Andersen	
Given Name		Family Name or Surname	
Inventor's Signature 		Date 12-06-2002	
Broenshoej	State	Denmark	Denmark
Residence: City		Country	Citizenship
Karstad Alle 7			
Mailing Address			
Mailing Address			
Broenshoej	State	DK-2700	Denmark
City		ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Claus		Bornaes	
Given Name		Family Name or Surname	
Inventor's Signature 		Date JUNE 11 2002	
Hellerup	State	Denmark	Denmark
Residence: City		Country	Citizenship
Hoejgards Alle 6			
Mailing Address			
Mailing Address			
Hellerup	State	DK-2900	Denmark
City		ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Supplemental Priority Data Sheet

[illegible]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

COPY

(Modified) PTO/SB/81 (11-96)
Approved for use through 6/30/99. OMB 0651-0035

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION	Application Number	10/084,706
	Filing Date	February 26, 2002
	First Named Inventor	Poul Baad Rasmussen, et al.
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	0228us410

I hereby appoint:

☒ Practitioners at Customer Number 30560
Type Customer Number Here

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:
☒ Customer Number 30560

OR

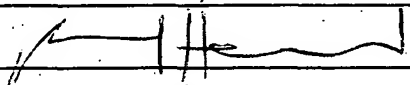
Firm or Individual Name					
Address					
Address					
City					
Country					
Telephone					

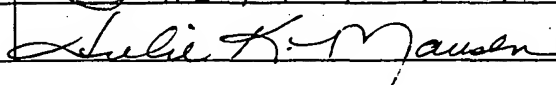
I am the

☐ Applicant.

☒ Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	Russell J. Howard, Chairman of the Board
Signature	
Date	7-16-02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001, Attn: Box Missing Parts on this date: <u>08-05-02</u>			
Typed or printed name	Julie K. MAUSEN		
Signature		Date	August 5, 2002

COPY

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION	Application Number	10/084,706
	Filing Date	February 26, 2002
	First Named Inventor	Poul Baad Rasmussen, et al.
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	0228us410

I hereby appoint:

☒ Practitioners at Customer Number

30560

Type Customer Number Here



as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ Customer Number 30560

OR

Firm or Individual Name					
Address					
Address					
City					
Country					
Telephone					

I am the

☐ Applicant.
☒ Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	Russell J. Howard, Chairman
Signature	
Date	7-16-02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001, ~~Attn: Box Missing Page~~ on this date: 08-05-02

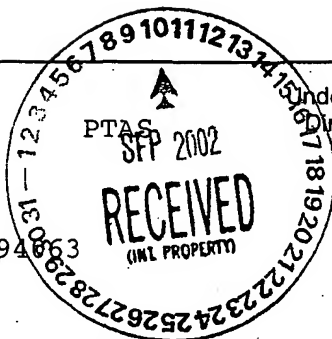
Typed or printed name	Julie K. MAUSEN		
Signature		Date	August 5, 2002



COPY

SEPTEMBER 05, 2002

MAXYGEN, INC.
JOANNE R. PETITHORY
515 GALVESTON DRIVE
REDWOOD CITY, CALIFORNIA 94063



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102147445A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/02/2002

REEL/FRAME: 013053/0323
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RASMUSSEN, POUL BAAD

DOC DATE: 06/19/2002

ASSIGNOR:

DRUSTRUP, JOERN

DOC DATE: 06/19/2002

ASSIGNOR:

RASMUSSEN, GRETHE

DOC DATE: 06/19/2002

ASSIGNOR:

PEDERSEN, ANDERS HJELHOLT

DOC DATE: 06/19/2002

ASSIGNOR:

SCHAMBYE, HANS THALSGARD

DOC DATE: 06/20/2002

ASSIGNOR:

ANDERSEN, KIM VILBOUR

DOC DATE: 06/19/2002

ASSIGNOR:

BOMAES, CLAUS

DOC DATE: 06/19/2002

DOCKETED on/by 9/10 / 100
Atty. IRP PA
File # 0228.410US
Due Date 5/26/02 Ext IDS PAST DUE
Final Date 11/26/02 RS-3 (DK Reminder)
2/26/03 RSD-0 "FFD"

013053/0323 PAGE 2

ASSIGNEE:

MAXYGEN HOLDINGS, INC.
C/O CLOSE BROTHERS (CAYMAN)
LIMITED 103 SOUTH CHURCH STREET
P.O. BOX 134 GT
GRAND CAYMAN, CAYMAN ISLANDS

SERIAL NUMBER: 10084706

PATENT NUMBER:

FILING DATE: 02/26/2002

ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-09-2002

Attorney Docket No. 0228us410

FORM PTO-1595
(Rev. 6-93)U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for

102147445

Documents or copy thereof

1. Name of conveying party(ies):

Poul Baad Rasmussen
Joern Drustrup
Grethe Rasmussen
Anders Hjelholt Pedersen

Additional name(s) of conveying parties attached? Yes

Name and address of receiving party(ies)

Name: Maxygen Holdings, Inc.

Internal Address:

Street Address: c/o Close Brothers (Cayman) Limited
103 South Church Street
P.O. Box 1034 GT
Grand Cayman, Cayman Islands

Additional names and addresses attached? No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Dates: June 19, 2002 – June 19, 2002
June 19, 2002 - June 19, 2002

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/084,706 Filing Date: February 26, 2002 B. Patent No(s): 13

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joanne R. Petithory
Maxygen, Inc.
515 Galveston Drive
Redwood City, California 94063

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0990

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Joanne R. Petithory
Name of Person SigningJune 25, 2002
Date

Atty Reg. No. 42,995

Total number of pages including cover sheet, attachments and document: (6)

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

07/09/2002 LMUELLER 00000055 500990 10084706

01 FC:581 40.00 CH

Assistant Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Hans Thalsgard Schambye
Kim Vilbour Andersen
Claus Bornaes

Execution Dates: June 20, 2002 – June 19, 2002 – June 19, 2002

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Poul Baad Rasmussen of Ved Renden 23, DK-2860 Soeberg, Denmark, Joern Drustrup of Kielshoej 54, DK-3520 Farum, Denmark, Grethe Rasmussen of Brudedalen 1, DK-3520 Farum, Denmark, Anders Hjelholt Pedersen of Nybroaenge 58, DK-2800 Lyngby, Denmark, Hans Thalsgard Schambye of Pelargoniavej 21, DK-2000 Frederiksberg C., Denmark, Kim Vilbour Andersen of Karlstad Allé 7, DK-2700 Broenshoej, Denmark, and Claus Bornaes of Hoejgards Allé 6, DK-2900 Hellerup, Denmark, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **NEW INTERFERON BETA-LIKE MOLECULES**

Filing Date: February 26, 2002

Application No.: 10/084,706; and

WHEREAS, Maxygen Holdings Ltd., a Cayman Island corporation, located at c/o Close Brothers (Cayman) Limited, 103 South Church Street, P.O. Box 1034 GT, Grand Cayman, Cayman Islands, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment
Application No.: 10/084,706
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 19.06.2002

Poul B. Rasmussen
Poul Baad Rasmussen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

Dated: 19.06.2002

Joern Drustup
Joern Drustup

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

Dated: 19/6-2002

Grethe Rasmussen
Grethe Rasmussen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

Assignment
Application No.: 10/084,706
Page 3

Dated: 19-6-2002

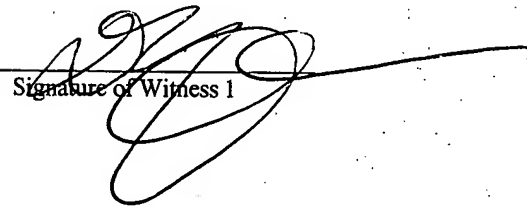


Anders Hjelholt Pedersen

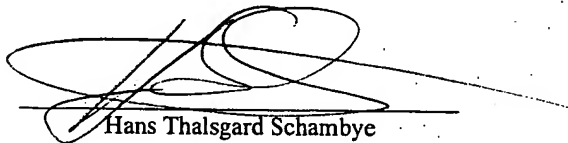
SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

Signature of Witness 1



Dated: 20-6-2002

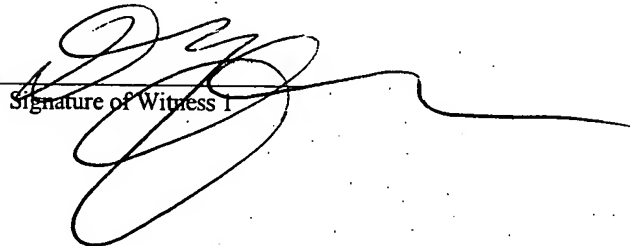


Hans Thalsgard Schambye

SIGNATURE WITNESSED BY:

(1) Date: 20-06-2002

Signature of Witness 1



Dated: 19-6-2002

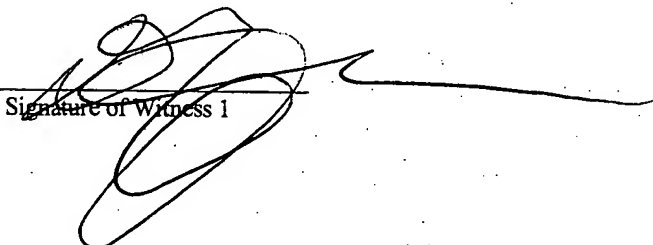


Kim Vilbour Andersen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

Signature of Witness 1



Assignment
Application No.: 10/084,706
Page 4

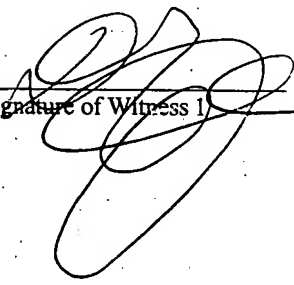
Dated: JUNE 19th 2002

Claus Bornaes

Claus Bornaes

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002


Signature of Witness 1

STATEMENT UNDER 37 CFR 3.73(b)

COPY

Applicant: **Poul Baad Rasmussen et al.**

Application No.: **10/084,706**

Filed: **February 26, 2002**

Entitled: **NEW INTERFERON BETA-LIKE MOLECULES**

Maxygen Holdings Ltd.

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of a one-half undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

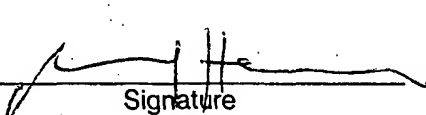
1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7-16-02
Date


Signature

Russell J. Howard

Chairman of the Board



UNITED STATES
PATENT AND
TRADEMARK OFFICE

COPY

SEPTEMBER 05, 2002

MAXYGEN, INC.
JOANNE R. PETITHORY
515 GALVESTON DR.
REDWOOD CITY, CA 94063



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102147448A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/02/2002

REEL/FRAME: 013053/0293
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RASMUSSEN, POUL BAAD

DOC DATE: 06/19/2002

ASSIGNOR:

DRUSTRUP, JOERN

DOC DATE: 06/19/2002

ASSIGNOR:

RASMUSSEN, GRETHE

DOC DATE: 06/19/2002

ASSIGNOR:

PEDERSEN, ANDERS HJELHOLT

DOC DATE: 06/19/2002

ASSIGNEE:

MAXYGEN APS
AGERN ALLE 1
DK-2970 HOERSHOLM, DENMARK

SERIAL NUMBER: 10084706
PATENT NUMBER:

FILING DATE: 02/26/2002
ISSUE DATE:

DOCKETED on/by 9/10 / 1010
Atty. JRF PA PA
File # 0228.410US
Due Date 5/24/02 Ext 105 PAST DUE
Final Date 11/26/02 RC-3 (Dis Reminders)
212413 "ECN"

013053/0293 PAGE 2

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-09-2002

Attorney Docket No. 0228us410

FORM PTO-1595
(Rev. 6-93)U.S. Department of Commerce
Patent and Trademark Office

102147448

To the Honorable Asst. Commissioner for

Patent and Trademark Office

1. Name of conveying party(ies):

Poul Baad Rasmussen
Joern Drustrup
Grethe Rasmussen
Anders Hjelholt Pedersen

07/02/02

Additional name(s) of conveying parties attached? Yes

2. Name and address of receiving party(ies)

Name: Maxygen ApS

Internal Address:

Street Address: Agern Allé 1
DK-2970 Hoersholm
Denmark

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Dates: June 19, 2002 - June 19, 2002
June 19, 2002 - June 19, 2002

Additional names and addresses attached? No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/084,706 Filing Date: February 26, 2002 B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joanne R. Petithory
Maxygen, Inc.
515 Galveston Drive
Redwood City, California 94063

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): -----\$40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0990

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Joanne R. Petithory
Name of Person Signing

Signature

Date

Atty Reg. No. 42,995

Total number of pages including cover sheet, attachments and document: (6)

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (xp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

07/09/2002 LNUELLER 00000058 500990 10084706

Assistant Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

01 FC:581 40.00 CH

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Hans Thalsgard Schambye
Kim Vilbour Andersen
Claus Bornaes

Execution Dates: June 20, 2002 – June 19, 2002 – June 19, 2002

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Poul Baad Rasmussen of Ved Renden 23, DK-2860 Soeborg, Denmark, Joern Drustrup of Kielshoej 54, DK-3520 Farum, Denmark, Grethe Rasmussen of Brudedalen 1, DK-3520 Farum, Denmark, Anders Hjelholt Pedersen of Nybroaenge 58, DK-2800 Lyngby, Denmark, Hans Thalsgard Schambye of Pelargoniavej 21, DK-2000 Frederiksberg C., Denmark, Kim Vilbour Andersen of Karlstad Allé 7, DK-2700 Broenshoej, Denmark, and Claus Bornaes of Hoejgards Allé 6, DK-2900 Hellerup, Denmark, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **NEW INTERFERON BETA-LIKE MOLECULES**

Filing Date: February 26, 2002

Application No.: 10/084,706; and

WHEREAS, Maxygen ApS, a Danish corporation, located at Agern Allé 1, DK-2970 Hoersholm, Denmark, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment
Application No.: 10/084,706
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 19.06.2002

Poul B. Rasmussen
Poul Baad Rasmussen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

Dated: 19.06.2002

Joern Drustup
Joern Drustup

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

Dated: 19/6-2002

Grethe Rasmussen
Grethe Rasmussen

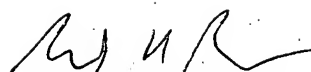
SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

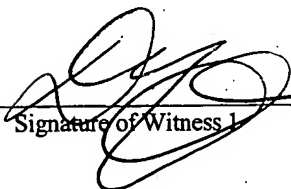
Assignment
Application No.: 10/084,706
Page 3

Dated: 19-6-2002

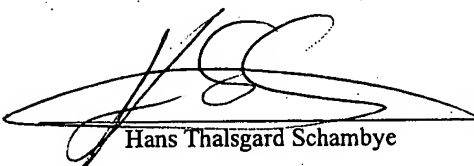

Anders Hjelholt Pedersen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

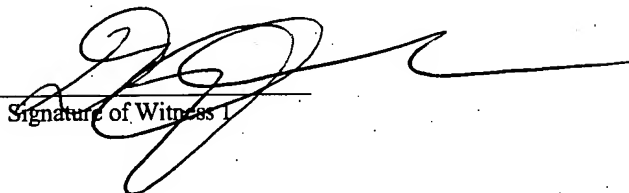

Signature of Witness 1

Dated: 20-6-2002


Hans Thalsgard Schambye

SIGNATURE WITNESSED BY:

(1) Date: 20-06-2002

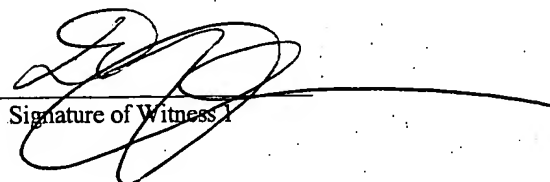

Signature of Witness 1

Dated: 19-6-2002


Kim Vilbour Andersen

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002


Signature of Witness 1

Assignment
Application No.: 10/084,706
Page 4

Dated: JUNE 19th 2002

Claus Bornaes

Claus Bornaes

SIGNATURE WITNESSED BY:

(1) Date: 19-06-2002

[Signature]
Signature of Witness 1

COPY

PTO/SB/96 (2-98)
Approved for use through 09/30/02000. OMB 0651-0031

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Poul Baad Rasmussen et al.

Application No.: 10/084,706

Filed: February 26, 2002

Entitled: NEW INTERFERON BETA-LIKE MOLECULES

Maxygen ApS

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of a one-half undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

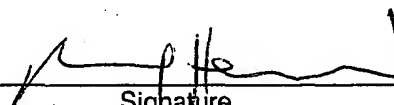
1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7-16-02
Date


Signature

Russell J. Howard

Chairman